

No. 93-404-CFX
Status: GRANTED

Title: Arthur L. Gustafson, et al., Petitioners
v.
Alloyd Company, Incorporated fka Alloyd Holdings,
Incorporated, et al.

Docketed:

September 9, 1993 Court: United States Court of Appeals for
the Seventh Circuit

See also:

93-201

Counsel for petitioner: Skoning, Gerald D., Wheeler, Harold

Counsel for respondent: Kopecky, Robert J., O'Brien, Karen M.,
Solicitor General, Cafferty, Patrick E.

Ptn due & mld 9-9-93, see ml label re dkt dt.

Entry	Date	Note	Proceedings and Orders
1	Sep 9 1993	G	Petition for writ of certiorari filed.
3	Oct 5 1993		Order extending time to file response to petition until November 10, 1993.
4	Nov 10 1993		Brief of respondents Alloyd Co., Inc., et al. in opposition filed.
5	Nov 17 1993		DISTRIBUTED. December 3, 1993 (Page 1)
6	Nov 30 1993	D	Motion of petitioners to consolidate this petition with 93-201, Allen & Company v. Pacific Dunlop filed.
7	Dec 2 1993		Opposition of respondent in 93-201, Pacific Dunlop Holdings Inc. to motion of petitioners filed.
14	Dec 8 1993		Opposition of Alloyd Co. Inc., and Wind Point Partners II, L.P. to motion of petitioners filed.
9	Dec 13 1993		Brief of petitioner Allen & Company Incorporated in response to motion for consolidation filed.
10	Jan 5 1994		REDISTRIBUTED. January 21, 1994 (Page 1)
11	Jan 24 1994		Motion of petitioners to consolidate this petition with 93-201, Allen & Company v. Pacific Dunlop DENIED.
12	Feb 28 1994		REDISTRIBUTED. March 4, 1994 (Page 13)
13	Mar 7 1994		Petition GRANTED. *****
16	Apr 7 1994		Order extending time to file brief of petitioner on the merits until May 6, 1994.
17	May 6 1994		Joint appendix filed.
18	May 6 1994		Brief of petitioners filed.
19	May 6 1994		Brief amicus curiae of Securities Industry Association, Inc. filed.
22	May 17 1994	G	Motion of the Solicitor General for leave to participate in oral argument as amicus curiae and for divided argument filed.
21	May 18 1994		Order extending time to file brief of respondent on the merits until June 29, 1994.
23	Jun 27 1994		Motion of the Solicitor General for leave to participate in oral argument as amicus curiae and for divided argument GRANTED.
24	Jun 29 1994		Brief amicus curiae of respondent North American Securities Administrators Association, Inc. filed.
25	Jun 29 1994		Brief amicus curiae of Securities & Exchange Commission filed.

Entry	Date	Note	Proceedings and Orders
26	Jun 29 1994		Brief of respondents Alloyd Co., Inc., et al. filed.
27	Jun 29 1994		Brief amicus curiae of respondent National Assn. of Securities and Commercial Law Attorneys filed.
28	Jul 15 1994		CIRCULATED.
30	Aug 1 1994	X	Reply brief of petitioners filed.
31	Aug 4 1994		Record filed.
		*	Partial proceedings United States Court of Appeals for the Seventh Circuit.
33	Sep 8 1994		The parties are ordered to file on or before Tuesday, October 11, 1994, supplemental briefs addressing the question whether Section 12(2) of the 1933 Securities Act applies to secondary transactions as well as to initial offerings of securities. Oral argument in this case, presently scheduled for October 11, 1994, is postponed.
32	Sep 12 1994		SET FOR ARGUMENT WEDNESDAY, NOVEMBER 2, 1994. (3RD CASE).
34	Oct 11 1994	X	Supplemental brief of petitioners filed.
35	Oct 11 1994	X	Supplemental brief of respondents filed.
36	Oct 25 1994		Record filed.
		*	Original record proceedings United States District Court, Northern District of Illinois (SEALED ENVELOPES)
37	Nov 2 1994		ARGUED.